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# Harmonic modeling of vibration energy harvesting systems using extended impedance method

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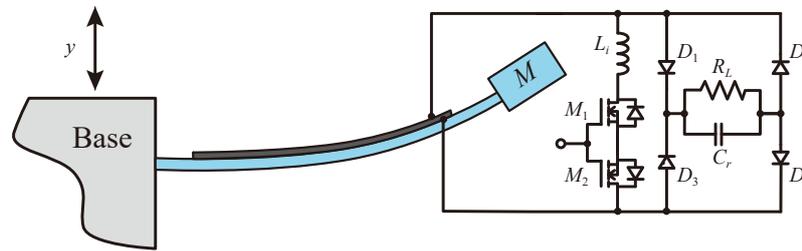
**Abstract.** Vibration energy harvesting (VEH) systems convert the mechanical vibration energy in AC form into storable electrical energy in DC form. The mechanical structure, electromechanical transducer, and power electronics are three necessary parts for realizing the electromechanical energy transduction and rectification. The difficulty was found in modeling the electromechanical joint dynamics, in particular, when complex mechanical dynamics or sophisticated electrical switching transient are involved. Harmonic analysis is proven to be an efficient tool for modeling such a multiple-field-coupled power conversion system. In this paper, we develop a multiple harmonics analysis for the piezoelectric energy harvesting systems based on the extended impedance method (EIM). This method was used in the analysis of nonlinear power electronic circuits, such as resonant inverters and dc-dc converters. The theoretical results at steady state are compared with the simulation results from the commercialized circuit simulation software. The new modeling technique provides an efficient tool for the customized design and holistic optimization of the vibration energy harvesting systems.

## 1. Introduction

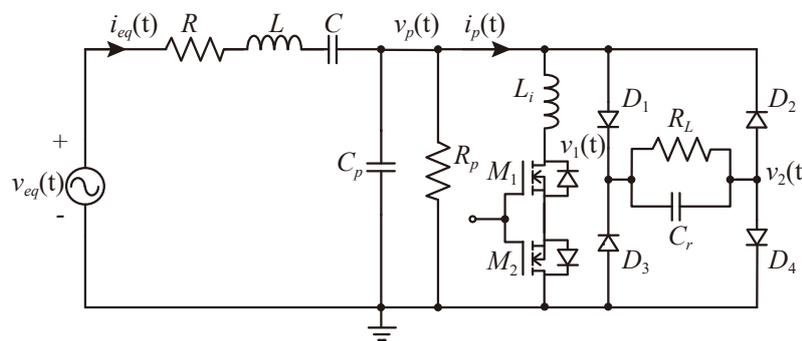
Piezoelectric energy harvesting (PEH) is a kind of VEH technology which provides promising opportunities towards the self-powered IoT (Internet of Things) devices. With the emerging power conditioning circuits, the output power and power bandwidth of the PEH system have been improved a lot during the last decade. By using the synchronized switch solutions, which manipulate the piezoelectric voltage at its extreme points, the energy harvesting capability can be enhanced by several folds. The first synchronized switch harvesting on inductor (SSHI) [1] solution was proposed in 2005. Liang et al. have proposed the parallel synchronized triple bias-flip (P-S3BF) [2] by introducing three switching actions. Gasnier et al. have expanded the synchronized switch concept and realized the multi-shot synchronous electric charge extraction (MS-SECE) into IC application [3].

Besides the circuit improvement, how to simulate the holistic electromechanical system, analyze the interaction between the mechanical side and electrical side has been an important issue for the study of PEH systems. Conventional analyses can be mainly divided into two categories. Early methods model the PEH system as an ideal source under constant displacement excitation [1, 4] or constant force excitation [5]. Given the insufficiency of the uncoupled models, Shu et al. [6] have proposed the energy balance analysis for PEH systems using P-SSHI and





**Figure 1.** A PEH system using P-SSHI interface circuit.



**Figure 2.** Equivalent circuit model.

S-SSHI interface circuits. On the other hand, with different underlying concepts, Liang et al. [7] have analyzed the joint dynamics base on the fundamental harmonic impedance analysis. The similar harmonic based concept was used in PEH systems with complex mechanical dynamics [8, 9].

In PEH analysis, what we mostly care about is the steady-state performance. But through time-domain simulation, the transient analysis is inevitable and consume extra computational afford. Different from the aforementioned methods, the extended impedance method (EIM) uses the harmonic concept to form nonlinear conductance or impedance in the frequency domain. By using EIM, the whole circuit can be solved in the frequency domain. Steady-state waveforms can be obtained immediately even nonlinear components and nonlinear control exist.

In the previous studies, EIM was used to analyze the nonlinear circuit such as the Class-E amplifier. [10, 11, 12, 13]. It outperforms other simulation methods in both computational efficiency and intuitive understanding. In this paper, the EIM is migrated to analyze the SSHI interface circuit in PEH system, which has utilized some nonlinear components and nonlinear control method. The EIM provides another efficient way for the analysis and optimization of the practical SSHI interface circuit.

## 2. EIM Modeling

As illustrated in Fig. 1, a PEH system with SSHI interface circuit consists of the mechanical structure and electrical interface circuit. Its mechanical part is a cantilever beam, which acts as the energy source. The SSHI interface is introduced. In SSHI, the nonlinear control and switch-mode nonlinear components are utilized to improve the power factor and enhance the harvested power. EIM is able to describe such nonlinearity using harmonic modeling.

### 2.1. Mechanical to electrical analogy

In this paper, the system is subjected to harmonic base excitation. The governing equations of the single-degree-of-freedom (SDOF) system can be described as follows

$$\begin{cases} M\ddot{x}(t) + D\dot{x}(t) + (K + K_p)x(t) + \alpha_e v_p(t) = -M\ddot{y}(t), \\ i_p(t) = \alpha_e \dot{x}(t) - C_p \dot{v}_p(t) - \frac{1}{R_p} v_p(t), \end{cases} \quad (1)$$

where  $M, D, K$ , and  $K_p$  represent the equivalent mass, mechanical damping (dissipation), substrate stiffness, and piezoelectric short circuit stiffness, respectively;  $C_p$  is the piezoelectric clamped capacitance;  $R_p$  is the dielectric resistance of the piezoelectric element;  $v_p$  is the voltage across the piezoelectric element, and  $i_p$  is the current flowing through the element;  $\alpha_e$  is the force-voltage factor of the piezoelectric transducer. The base acceleration  $\ddot{y}(t)$ , the second derivative of the base displacement  $y(t)$ , is the mechanical excitation.

According to the electromechanical analogy [7, 14]

$$q(t) = \alpha_e x(t) \quad (2)$$

$$v_{eq}(t) = -\frac{M}{\alpha_e} \ddot{y}(t) \quad (3)$$

$$L = \frac{M}{\alpha_e^2} \quad (4)$$

$$R = \frac{D}{\alpha_e^2} \quad (5)$$

$$C = \frac{\alpha_e^2}{K + K_p} \quad (6)$$

the mechanical side displacement, base excitation, mass, damping, and stiffness can be analogized as the charge, voltage source, inductance, resistance, and capacitance in the electrical domain, respectively, as shown in Fig. 2

### 2.2. EIM model

The whole equivalent circuit model of PEH and P-SSHI interface circuit has shown in Fig. 2, which contains some linear components such as resistance, inductance, capacitance and some nonlinear components such as MOSFETs and diodes and even nonlinear control of the MOSFET gate signal.

From a broader point of view, the nonlinear components can also be regarded as special resistors as shown in Fig. 3. Their resistances are determined by the terminal voltage and gate control signal.

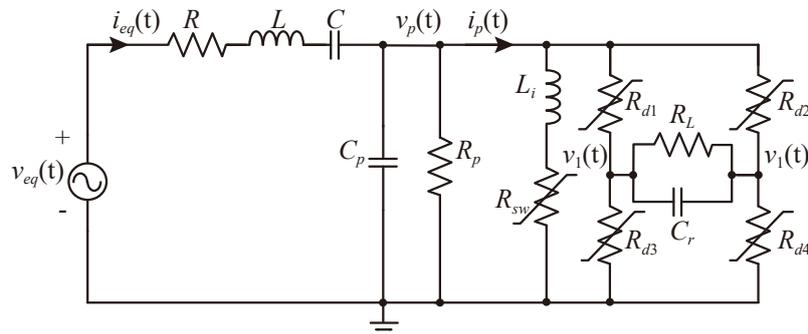
The four diodes  $D_1$  to  $D_4$  can be modeled as nonlinear resistance  $R_{di}$  ( $i = 1, 2, 3, 4$ ) which is described with the following equation

$$R_{di} = \begin{cases} \infty, & v_d \leq 0; \\ \frac{v_d}{I_s [e^{-v_d/(nV_T)} - 1]}, & v_d > 0, \end{cases} \quad (7)$$

where  $v_d, I_s, n, V$  are the forward diode voltage, saturation current, thermal voltage, and ideal factor, respectively.

The two power MOSFETs  $M_1$  and  $M_2$ , are modeled as a nonlinear resistance  $R_{sw}$ , whose value is determined by the phase of current  $i_{eq}$  according to the following relation

$$R_{sw}(t) = \begin{cases} R_{on}, & t_{zc} < t < t_{zc} + \pi \sqrt{L_i C_p}; \\ R_{off}, & \text{other}, \end{cases} \quad (8)$$



**Figure 3.** The EIM model.

where  $t_{zc}$  is the zero-crossing instants of  $i_{eq}$ , and  $\pi\sqrt{L_i C_p}$  is half of an  $LC$  resonant cycle.

With such equivalent transformation, all the components in Fig. 3 can be regarded as impedance in frequency domain. In EIM, the impedance of each component is no longer expressed as conventional complex scalar, but complex matrices [11]. The PEH equivalent circuit can be easily formulated by using the nodal analysis. The circuit constitutive equation is expressed as follows.

$$\begin{bmatrix} \mathbf{V}_p^{(n+1)} \\ \mathbf{V}_1^{(n+1)} \\ \mathbf{V}_2^{(n+1)} \end{bmatrix} = \begin{bmatrix} \mathbf{Y}_p^{(n)} & -\mathbf{Y}_{d1}^{(n)} & -\mathbf{Y}_{d2}^{(n)} \\ -\mathbf{Y}_{d1}^{(n)} & \mathbf{Y}_{d1}^{(n)} + \mathbf{Y}_{d3}^{(n)} + \mathbf{Y}_{load} & -\mathbf{Y}_{load} \\ -\mathbf{Y}_{d2}^{(n)} & -\mathbf{Y}_{load} & \mathbf{Y}_{load} + \mathbf{Y}_{d2}^{(n)} + \mathbf{Y}_{d4}^{(n)} \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{Y}_{RLC} \mathbf{V}_{eq}^{(n)} \\ \mathbf{0} \\ \mathbf{0} \end{bmatrix} \quad (9)$$

where

$$\mathbf{Y}_p^{(n)} = \mathbf{Y}_{RLC} + \mathbf{Y}_{Cp} + \mathbf{Y}_{Rp} + \mathbf{Y}_{swLi}^{(n)} + \mathbf{Y}_{d1}^{(n)} + \mathbf{Y}_{d2}^{(n)} \quad (10)$$

$$\mathbf{Y}_{swLi} = (\mathbf{Z}_{sw}^{(n)} + \mathbf{Z}_{Li})^{-1} \quad (11)$$

$$\mathbf{Y}_{RLC} = (\mathbf{Z}_R + \mathbf{Z}_L + \mathbf{Z}_C)^{-1} \quad (12)$$

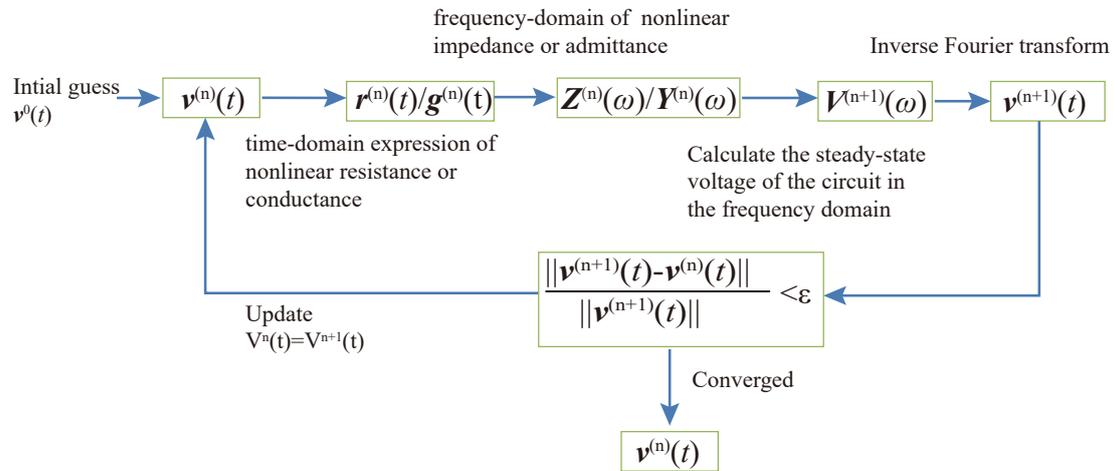
$$\mathbf{Y}_{load} = \mathbf{Y}_{RL} + \mathbf{Y}_{Cr} \quad (13)$$

The three nodes other than the ground are denoted as the vectors  $\mathbf{V}_p$ ,  $\mathbf{V}_1$ , and  $\mathbf{V}_2$ . The vector  $\mathbf{V}_{eq}$  is the equivalent excitation in the frequency domain.  $\mathbf{Y}_x$  and  $\mathbf{Z}_x$  are the admittance and impedance of the corresponding  $x$  component. The superscript (n) and (n+1) denote the number of iterations. The voltage vectors, whose length are  $2K + 1$ , stand for the steady-state voltages in frequency domain with the harmonic order of  $K$ . The admittance matrices, whose dimensions are  $(2K + 1)^2$ , express the characterizes of their corresponding component. The linear components give diagonal admittance matrices.

Starting with an initially estimated voltage vector, all the extended admittance matrices are able to be obtained. In the next iteration, the constitutive relation runs to acquire the new voltage results. Such a process continues until the relative error between two iteration results satisfies the tolerant requirement. Fig. 4 summarizes the simulation processes of the EIM based PEH and SSHI interface circuit analysis.

### 3. Model validation

The time-domain simulation using commercialized software are carried out to validate the proposed modeling using EIM. The system parameters listed in Table 1 are measured by impedance analyzer and indirectly obtained. With these parameters, a MATLAB program



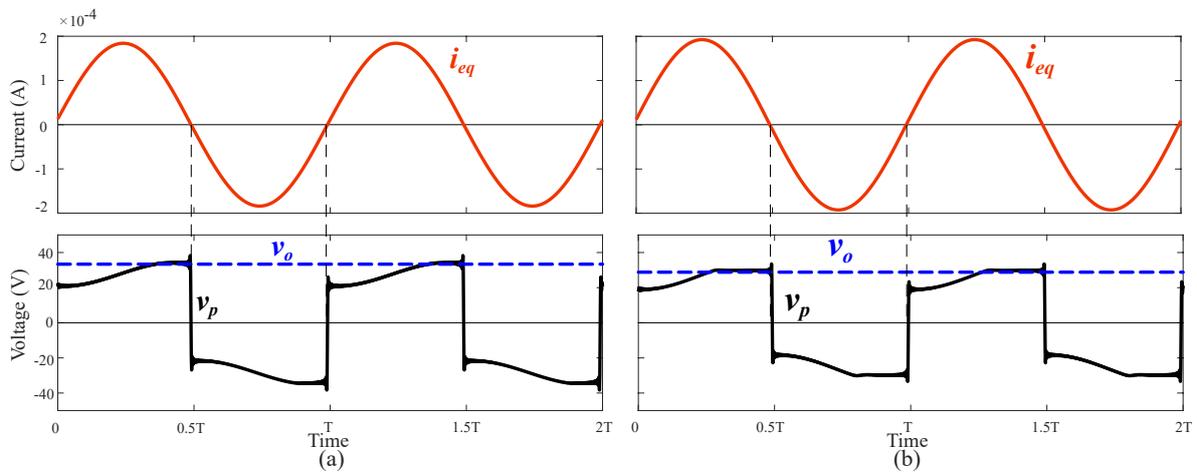
**Figure 4.** Flow Chart for the EIM based PEH interface circuit analysis.

**Table 1.** Parameters of PEH system using SSHI interface circuit.

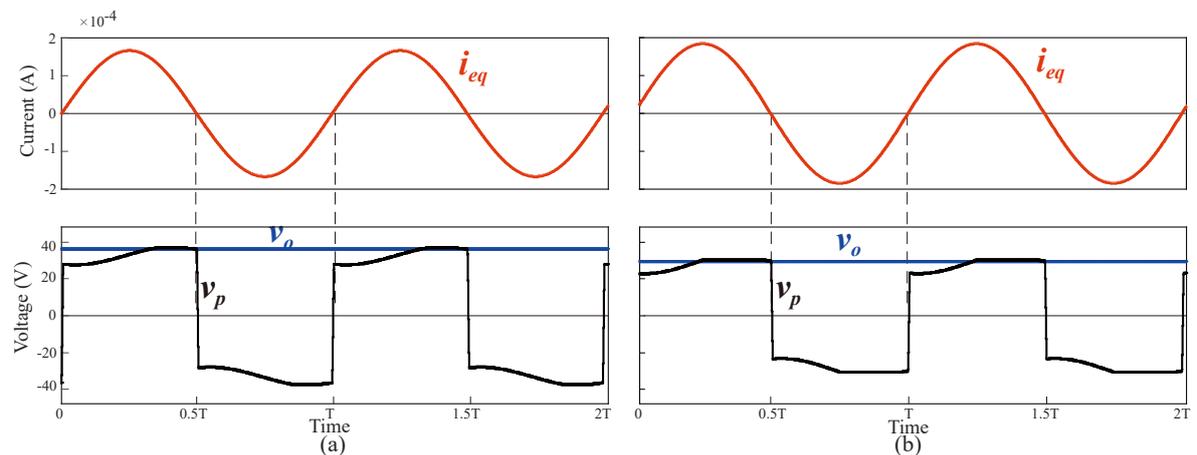
Parameter	Value	Parameter	Value
$R$	345.47 k $\Omega$	$L_i$	47 mH
$L$	31.18 kH	$C_r$	10 $\mu$ F
$C$	0.27 nF	$\omega$	$2\pi \times 54.8$ rad/s
$C_p$	45.7 nF	$\alpha_e$	$0.37 \times 10^{-3}$ N/V
$R_p$	533.47 k $\Omega$	$k_e^2$	$5.9 \times 10^{-3}$
$V_{eq}$	100 V	$\gamma$	-0.85

based on EIM runs to acquire the steady-state voltages of the circuit. The harmonic order  $K = 200$ . From Fig. 5(a) the EIM simulation result, we can observe that when the piezoelectric structure is at open-circuit condition, i.e.,  $R_L = \infty$ , the rectified voltage  $v_o$  is charged up to the maximum value of piezoelectric voltage  $v_p$ . When  $v_p$  reaches the extreme values, at the same instant, piezoelectric equivalent current crosses zero, the MOSFETs turn on, enabling  $L_i$  and  $C_p$  form an under-damped resonant branch. Because the electrical resonant frequency is much higher than that of mechanical vibration. Thus  $v_p$  sharply jumps at the synchronized instants. The switch turns off after one half of the  $L_i C_p$  resonant cycle. After that  $v_p$  recharges in the reverse direction.

If the load resistance is 1 M $\Omega$ , the diodes can be conducted for some intervals in every cycle. From the simulation result in Fig. 5(b), it is observed that  $v_p$  has been clamped at a rectified voltage  $v_o$ . Compared with the simulation results obtained in PSIM software, the waveforms make a very good agreement, as Fig. 5(b) and Fig. 6(b) show. However, the difference is observed when load resistance  $R_L$  is infinite. From Fig. 6(a) the waveform of  $v_p$  in the PSIM simulation result, voltage clamping phenomenon still exists. The simulation does not accord with the theory. It is because the diode model in PSIM is not accurate enough to simulate the more precise dynamics circuit. On the contrary, EIM performs well for dealing with both nonlinear components and nonlinear control.



**Figure 5.** Steady-state waveforms obtained with EIM. (a) Open circuit ( $R_L = \infty$ ). (b)  $R_L = 1 \text{ M}\Omega$



**Figure 6.** Steady-state waveforms obtained with PSIM. (a) Open circuit ( $R_L = \infty$ ). (b)  $R_L = 1 \text{ M}\Omega$

#### 4. Conclusion

PEH technology is one of the most extensively investigated energy harvesting solutions. While the electromechanical joint dynamic of a PEH system is not easy to model. This paper combined the electromechanical analogy and EIM together, such that to use the extended impedance concept to deal with the nonlinear components and nonlinear control. The simulation result has demonstrated its advantage as it can simulate the dynamics more efficiently. In addition, thanks to its numerical feature, it has the potential to be applied to the model and optimize more complex nonlinear circuits.

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